

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT TRANSMITTAL FORM



Umio Mukai

Serial No.:

10/619,746

Filed:

July 15, 2003

For:

FILTER APPARATUS

Art Unit:

1723

Examiner:

Not Yet Assigned

Confirmation No.:

7413

Customer No.:

27623

Attorney Docket No.:

0001418/2215USU

Date: August 17, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

We are enclosing:

- 1. Supplemental Information Disclosure Statement with copy of reference;
- 2. PTO Form 1449;
- 3. Transmittal letter in duplicate; and
- Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Date: August 17, 2005

Charles N.J. Ruggiero

Reg. No. 28,468

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor Stamford, Connecticut 06901-2682

(203) 327-4500

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON <u>August 17, 2005</u>.

Lesley-Jean Hill NAME SIGNATURE

August 17, 2005

DATE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Umio Mukai

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, we are enclosing form PTO-1449 listing information that may be material to the patentability of this application.

We are also enclosing copies of the non-US references listed on the attached PTO-1449. International Publication No WO99/02238 and Japanese Official Action dated July 11, 2005.

It should be understood that attention has been called to the citations that have been deemed to be pertinent to the claimed present invention. In concluding what was

pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed citations and to make the usual careful independent search for other prior art that may be pertinent.

Since this paper contains only an IDS in compliance with 37 C.F.R. §§ 1.97 and 1.98 it should not be considered a failure to engage in reasonable efforts to conclude prosecution (processing or examination) of the application under paragraphs 37 CFR 1.704(d). Specifically, each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this IDS.

Applicant respectfully requests favorable consideration and that this application be passed to allowance.

Respectfully submitted

Charles N.J. Ruggiero

Reg. No. 28,468

Attorney for Applicant(s)

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

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CUSTOMER NO.: 27623 Sheet 1 of 1 Docket Number (Optional) **Application Number** FORM PTO-1449 INFORMATION DISCLOSURE CITATION 10/619,746 0001418USU/2215 Applicant Umio Mukai Filing Date **Group Art Unit** Use several sheets if necessary) July 15, 2005 1723 U. S. PATENT DOCUMENTS **FILING DATE IF EXAMINER** DOCUMENT DATE NAME **CLASS SUBCLASS APPROPRIATE** INITIAL **NUMBER** FOREIGN PATENT DOCUMENTS Translation DATE COUNTRY **CLASS SUBCLASS** YES NO **DOCUMENT NUMBER PCT** WO99/02238 1/21/1999 \mathbf{X} OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.) Japanese Official Action dated July 11, 2005 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.